

# ECE 597TN/697TN - Photonics

Department of Electrical and Computer Engineering  
University of Massachusetts Amherst

## Syllabus Spring 2018

### **Instructor**

Prof. Amir Arbabi  
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### **Time and Location**

Lecture: 10:00AM-11:15AM Tuesdays and Thursdays, ELab 323  
Office hours: 11:20AM-noon Tuesdays and Thursdays or by appointment, Knowles 211C

### **Prerequisite:**

ECE 333 (Fields and Waves I), Physics 422 (Electricity and Magnetism II), or equivalent.

### **Course Overview**

This course introduces students to the fundamental operating principles of optical components and photonic devices. Three different descriptions of optical propagation and interaction (geometrical optics, wave optics, and electromagnetic optics) with increasing complexity and accuracy are presented. Physical phenomena and photonic devices whose operation can be described within the scope of each theory are introduced and discussed.

### **Resources**

#### **Main text:**

Saleh, B. E. A. & Teich, M. C. *Fundamentals of photonics*. 2nd edn, (Wiley Interscience, 2007).  
e-book version available [here](#) through UMass Library.

#### **Other References:**

Yariv, A. *Optical electronics in modern communications*. 5th edn, (Oxford University Press, 1997).  
Hecht, E. *Optics*. 4th edn, (Addison-Wesley, 2002).  
Goodman, J. W. *Introduction to Fourier optics*. 3rd edn, (Roberts & Co., 2005).  
Haus, H. A. *Waves and fields in optoelectronics*. (Prentice-Hall, 1984).

### **Grading**

Homework 30%  
Midterm (tentatively 5:00PM-7:00PM on Thursday March 8, 2018) 20%  
Project 30%  
Final Exam (10:30AM-12:30PM on Wednesday May 9, 2018) 20%

### **Homework Policies**

Weekly problem sets (~10 total) will be assigned on Thursdays, and are due the following Thursday in class before the start of the lecture. Students get one late homework pass and may turn their homework in without a penalty at the beginning of the lecture following the due date.

Collaboration on problem sets is allowed and encouraged. However, you must write your own solutions to the problems, and must cite all people with whom you have collaborated.

## **Project**

Each student should conduct a critical literature review on an active research area in the field of photonics, present it in a 12-min-talk and a 2-page report. A list of project topics will be provided in the second part of the course (after the midterm), and the students are also welcome to propose their topics of interest.

## **Exam Policies**

The class will have one in class midterm and the final exam. The midterm will cover the materials before the spring break and the final exam will cover the materials after the break. The exams are closed-book, but students are allowed to bring one double-sided page of notes. Use of calculators is also allowed.

## **Course Topics**

### **Ray optics**

Fermat principle, laws of reflection and refraction, optical components, paraxial ray tracing (transfer matrix (ABCD) approach), spot diagram, optical design software

### **Wave optics**

Helmholtz equation, paraxial equation, Gaussian beams, ABCD approach, other types of beams, Angular spectrum method, resolution limit, Fresnel and Fraunhofer diffraction, optical Fourier transform, 4f-system, holography

### **Electromagnetic optics**

Optics of dielectric media, absorption, dispersion, anisotropy, nonlinearity, optical properties of conductive media, vectorial beams, Kramers-Kronig relation

### **Polarization of light**

Reflection and refraction from planar interfaces, Jones matrix, Stocks parameters and Poincare sphere, light propagation in anisotropic media, optical activity and magneto-optics effect, liquid crystals, polarization manipulation components

### **Optical waveguides**

Guided waves, slab waveguide modes, optical fibers, commonly used photonics waveguides, coupling into and between optical waveguides, optical waveguide components

### **Periodic structures**

Bragg mirror, Bloch modes, band structure, photonic bandgap and photonic crystals

### **Optical resonators**

Free space resonators, quality factor and life-time, micro-resonators, coupling to resonators, mode dynamics

### **Optical amplification and gain**

Interaction of light with atoms, spontaneous and stimulated emission, population inversion and optical gain, gain nonlinearity

### **Lasers**

Optical feedback, lasing condition and threshold, spectral distribution, common types of lasers, pulsed lasers

Time-permitting: Electro-optics, Nonlinear optics, and Acousto-optics